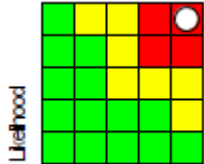
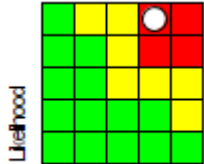
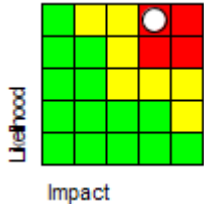
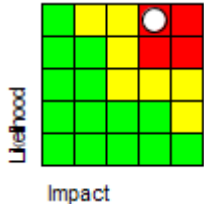
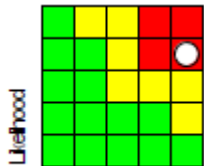
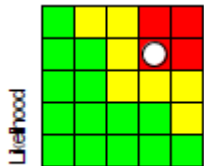


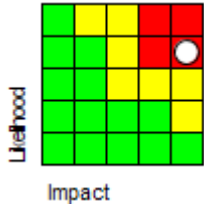
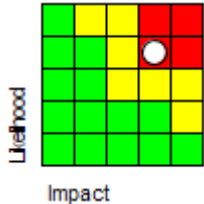
## **Appendix A - Overview of Corporate Level Risks (excluding IT risks)**

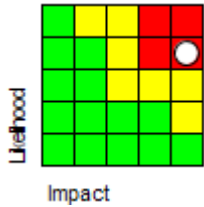
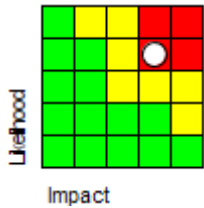
Generated on: 14 April 2025 13:29

<b>Risk Code &amp; Title</b>	<b>R013 Failure to provide affordable housing and supporting infrastructure in the district in line with identified need.</b>	<b>Uncontrolled Risk Score</b>		<b>25</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>20</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Agreement on countywide housing distribution</li> <li>• Council adoption of appropriate housing needs policies</li> <li>• Feedback to consultation processes</li> <li>• Input into Strategic Planning Groups</li> <li>• Review of options to deliver affordable housing &amp; balanced housing market</li> </ul>	<b>Latest Note</b>	<p>Work continues to develop affordable housing options across housing sites in Blaby. Through the emerging local plan and existing planning applications, affordable schemes are being promoted.</p> <p>The Council is also seeking ways to use its own assets to promote affordable housing development.</p>	
		<b>Latest Note Date</b>	04 Apr 2025	

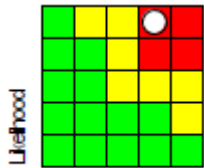
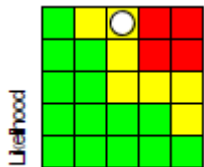
Risk Code & Title	R071 Failure to provide appropriate temporary accommodation for homeless households	Uncontrolled Risk Score		20
Risks Category		Current Controlled Risk Score		20
Internal Controls	Continue to source alternative provision of temporary accommodation in the District.	Latest Note	The uncontrolled risk has been reviewed and increased, the controlled risk remains the same.	
		Latest Note Date	07 Apr 2025	

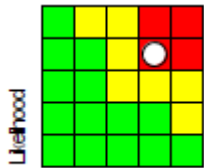
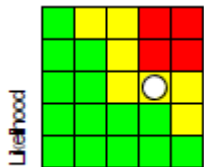
<b>Risk Code &amp; Title</b>	<b>R007 Impact on financial position as a result of lack of certainty around future funding streams (i.e. Business Rates, Fair Funding, New Homes Bonus and Council Tax Equalisation), and cost of living crisis.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Financial	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Awareness &amp; Understanding of national policy changes</li> <li>• Balanced budget approved</li> <li>• Deliver Action Plan of Commercialisation Strategy</li> <li>• Maintain an awareness of changing priorities</li> <li>• MTFS in place</li> <li>• Strategy to maximise growth of Business Rates</li> <li>• Working with significant partners</li> <li>• Maintain adequate level of reserves . Financial plan now in place with measures to reduce the budget gap.</li> </ul>	<b>Latest Note</b>	No change to risk rating. Waiting for consultation in summer time.	
		<b>Latest Note Date</b>	07 Apr 2025	

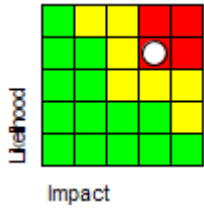
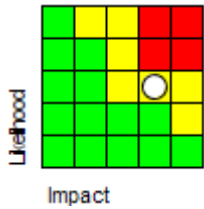
<b>Risk Code &amp; Title</b>	<b>R017 Failure of ICT systems leaves us unable to deliver services.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Technological / Reputational	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Adoption of standards around service desk management (LICTP)</li> <li>• Additional measures implemented in response to Capita cyber attack (LICTP)</li> <li>• Managed internet access (Blaby)</li> <li>• File storage resilience (Blaby)</li> <li>• Broadband lines audit (Blaby)</li> <li>• Cyber security - network and ICT security upgrades in progress (LICTP)</li> <li>• Data Centre - servers and storage upgrades/migration in progress (LICTP)</li> </ul>	<b>Latest Note</b>	No change to risk rating. Recent phishing attacks. Changes to password security. LICP policy - permission to access emails for Members.	
		<b>Latest Note Date</b>	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R018 ICT security breaches and non-compliance with Government security standards.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Technological / Reputational	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	Perimeter Security, Systems and data is backed up, Annual IT Health checks, Cyber Essentials and PSN accreditation, Security Working Group, policies in place to support and advise staff, ICT monthly articles and newsletters aimed at increasing staff cyber awareness, Encryption detection software, Antivirus software, Mail Filtering, Sophos Unified Threat Manager, Intrusion detection software.	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R158 The Council is unable to meet its 5 year land supply target</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Reputational / Financial	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• re-establish land supply through new local plan</li> <li>• ensure that Planning Committee are sufficiently well-trained to be able to approve favourable housing applications</li> </ul>	<b>Latest Note</b>	This risk has been reviewed and has been revised in line with the uncontrolled risk score.	
		<b>Latest Note Date</b>	14 Apr 2025	

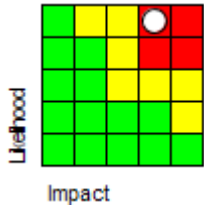
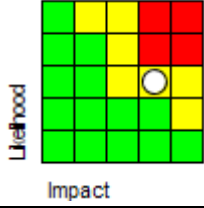
<b>Risk Code &amp; Title</b>	<b>R019 Cost of living crisis leading to increased demand for services.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Financial / Social	<b>Current Controlled Risk Score</b>		<b>15</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• CAB service * Earmarked reserve in place to support cases of serious hardship.</li> <li>• Communication with food banks to be able to provide support</li> <li>• Supporting residents in times of crisis</li> </ul>	<b>Latest Note</b>	This risk has been increased. Homelessness arising - see risk R013. Monitor national issues for local impact.	
		<b>Latest Note Date</b>	07 Apr 2025	

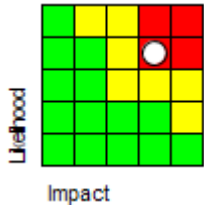
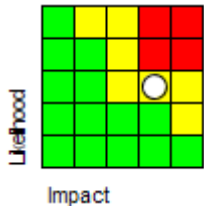
<b>Risk Code &amp; Title</b>	<b>R012 Closure, downsizing of premises or relocation of a major district employer which may cause significant unemployment, retraining requirements and a potential loss of Business Rates</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Financial	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Review the Council's Economic Development Strategy and resources</li> <li>• Develop the work and skills capacity</li> <li>• Engage regularly with businesses to understand requirements</li> <li>• Work with authorities, landowners, developers &amp; agents</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	07 Apr 2025	

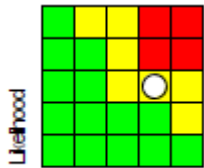
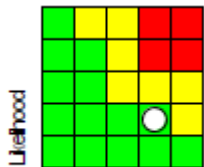
<b>Risk Code &amp; Title</b>	<b>R014 Structural, legislative and budgetary changes in other Public Sector organisations (e.g. DWP, Health, NHS, Police, Leicestershire CC) impacting on our financial position &amp; delivery of service.</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Financial / Social	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Maintain awareness &amp; respond to implications of emerging changes in public sector delivery organisations</li> </ul>	<b>Latest Note</b>	No change to this risk rating. Title changed adding in NHS. Monitoring national cuts to the NHS.	
		<b>Latest Note Date</b>	07 Apr 2025	

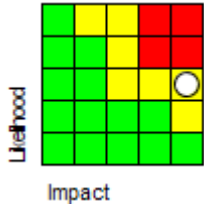
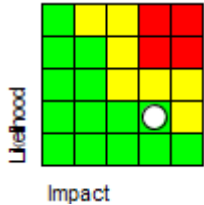
<b>Risk Code &amp; Title</b>	<b>R021 The Council's ambition to meet the 2030 net zero carbon reduction target is not met due to a detrimental impact on our Financial position.</b>	<b>Uncontrolled Risk Score</b>		<b>12</b>
<b>Risks Category</b>	Environmental / Financial / Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Carbon reduction action plan in place.</li> <li>• Dedicated officer working on "green" initiatives..</li> <li>• Cross service working group in place to maintain high profile and awareness.</li> <li>• Fleet replacement strategy under review.</li> </ul>	<b>Latest Note</b>	This risk has not changed. Title amended.	
		<b>Latest Note Date</b>	14 Apr 2025	

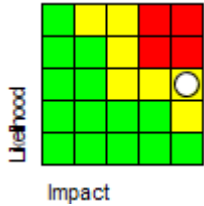
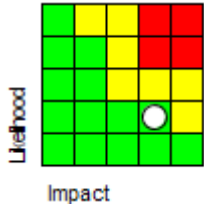
<b>Risk Code &amp; Title</b>	<b>R131 Partners lose confidence in the Leicestershire Building Control Partnership delivery model.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>Quarterly Board Meetings</li> <li>Regular contact between meetings with Partners by the Building Control Partnership Manager</li> </ul>	<b>Latest Note</b>	This risk has been increased. Internal controls reviewed and updated.	
		<b>Latest Note Date</b>	07 Apr 2025	

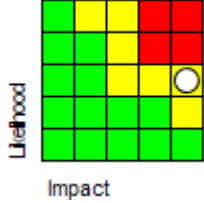
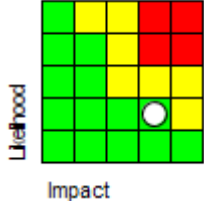
Risk Code & Title	R138 Unsuccessful Transition from LICTP Partnership to In House ICT Provision	Uncontrolled Risk Score		20
Risks Category	Contractual / Partnership	Current Controlled Risk Score		12
Internal Controls	<ul style="list-style-type: none"> <li>• A staged project plan is being followed which brings systems onboard over a period of time rather than in one instance</li> <li>• Proof of Concept testing is progressing - this illustrates that Blaby infrastructure can support the systems that are planned to be transferred.</li> <li>• Both internal and external system specialists have been engaged with/employed to assist with the transfer of individual systems E.g. such as NEC/Uniform</li> </ul>	Latest Note	This risk has been reduced due to the internal controls in place and the title has been amended to include the word Unsuccessful.	
		Latest Note Date	03 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R157 The Council is unable to deliver a new Local Plan</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• identify additional sites for residential development</li> <li>• release appropriate sites for residential development through the development management process</li> <li>• progress the local plan in accordance with the Local Development Scheme</li> <li>• member training plan in place</li> <li>• resource in place to ensure delivery</li> </ul>	<b>Latest Note</b>	The development of the Local Plan continues however influences outside the control of BDC, such as transport evidence, are delaying the pace of production. Work is being undertaken to explore routes to fill evidence gaps.	
		<b>Latest Note Date</b>	04 Apr 2025	

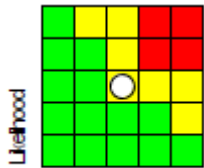
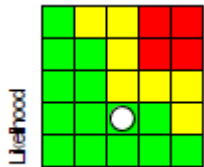
<b>Risk Code &amp; Title</b>	<b>R002 Blaby District Plan is not deliverable within available resources. If we do not deliver the corporate objectives the quality of life of residents and those who work in the district will not be improved.</b>	<b>Uncontrolled Risk Score</b>		<b>12</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• All service plans aligned to Blaby District Plan objectives</li> <li>• Be clear about expected outcomes</li> <li>• Monitor delivery of Blaby District Plan</li> <li>• Review Blaby District Plan priorities regularly</li> </ul>	<b>Latest Note</b>	No change to risk rating. Council meeting in April - up to date version of action plan.	
		<b>Latest Note Date</b>	07 Apr 2025	

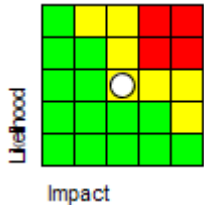
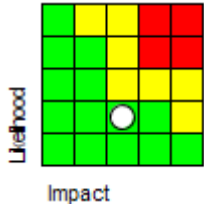
<b>Risk Code &amp; Title</b>	<b>R003 Failure to have effective safeguarding arrangements and a plan in place to safeguard children and vulnerable adults may place people in danger</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Competitive / Reputational / Social	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Active Member of District DSO Group</li> <li>• Continuous training of designated officers, review of policies</li> <li>• Ensuring enough trained officers are available</li> <li>• Policies in place and effectively communicated to staff</li> </ul>	<b>Latest Note</b>	No change to risk rating. There is an increase in the number of safeguarding officers. A review for training to be rolled out for staff.	
		<b>Latest Note Date</b>	07 Apr 2025	

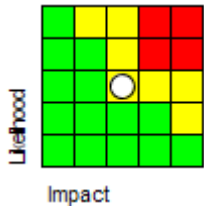
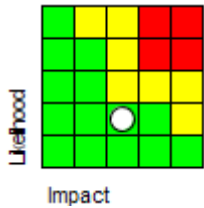
<b>Risk Code &amp; Title</b>	<b>R004 Failure to ensure adherence to internal control arrangements would leave the council open to fraud, affecting reputation and breaches of the council's procedures, policies and legal responsibilities.</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Fraud / Legal	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Anti Fraud &amp; Corruption/Benefit Fraud policies reviewed &amp; adopted. Staff/Member training</li> <li>• Employees adhere to governance procedures</li> <li>• Ensure we do not employ staff with false records</li> <li>• Use of NAFN bulletins to maintain awareness of latest threats</li> <li>• Policies and procedures ensure segregation of duties</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	07 Apr 2025	

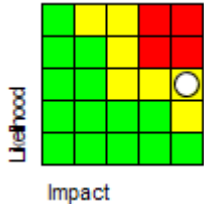
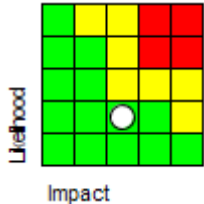
<b>Risk Code &amp; Title</b>	<b>R006 Lack of effective Emergency Planning and Business Continuity arrangements</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Professional	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• All key EP &amp; BC documents on Resilience Direct</li> <li>• Internal EP &amp; BC working group meeting</li> <li>• Periodic training with SLT &amp; key officers of plans</li> <li>• Out of hours Emergency Contact Centre Contract (First Call)</li> <li>• Partnership with the Leicestershire Resilience Forum</li> <li>• Senior Leadership Team On Call Rota</li> </ul>	<b>Latest Note</b>	No change to risk rating. Training is outstanding for more Service Manager's to manage rest centres and also for more staff as volunteers for rest centres.	
		<b>Latest Note Date</b>	07 Apr 2025	

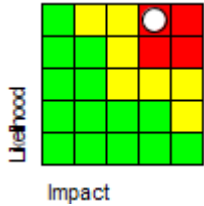
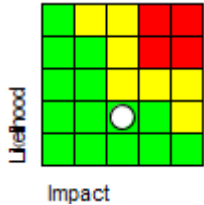
Risk Code & Title	R011 Failure to identify, record, monitor and report health and safety risks.	Uncontrolled Risk Score		16
Risks Category	Physical	Current Controlled Risk Score		8
Internal Controls	<ul style="list-style-type: none"> <li>• Effective Health &amp; Safety Committee</li> <li>• Effective Health &amp; Safety procedures</li> <li>• Service blueprints</li> <li>Dedicated Health &amp; Safety Officer</li> </ul>	Latest Note	No change to risk rating.	
		Latest Note Date	07 Apr 2025	

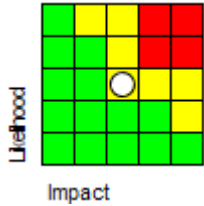
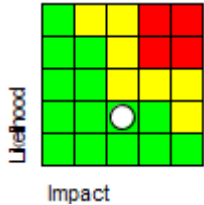
<b>Risk Code &amp; Title</b>	<b>R001 Engagement of elected members negatively impacting on decision making process</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Cabinet &amp; Leader awareness and development</li> <li>• Code of conduct</li> <li>• Member development strategy/ supporting roles &amp; responsibilities</li> <li>• Recruitment/member succession</li> <li>• Audit &amp; Corporate Governance Committee</li> <li>• Training/Cabinet development</li> <li>• Induction Programme for new members</li> <li>• New, cross-party Whips Group in place.</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	07 Apr 2025	

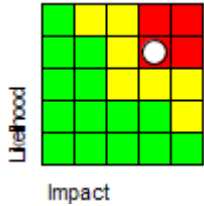
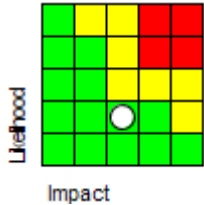
<b>Risk Code &amp; Title</b>	<b>R008 Failure to comply with legislation, resulting in us not meeting our statutory obligations The organisation promotes and demonstrates the principles and values of good governance</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Legal	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Constitution regularly reviewed and kept up to date</li> <li>• Annual Governance Statement</li> <li>• Independent Member Committees</li> <li>• Skilled workforce</li> <li>• Training/CPD</li> </ul>	<b>Latest Note</b>	The uncontrolled risk has been reviewed and increased, the controlled risk remains the same. Best value framework assessment underway. Statutory officers meet monthly to review our processes. Revised golden triangle training.	
		<b>Latest Note Date</b>	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R009 Contracts may fail to deliver intended outcomes if not managed effectively, and improvements/efficiencies may not be delivered.</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Contractual / Partnership	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Ensure that effective contract management arrangements are put in place as part of procurement process.</li> <li>• Manage SLM Contract through quarterly governance meetings.</li> <li>• Awareness and contract management training delivered to staff involved with procurement.</li> <li>• Development of working relationship with Welland Procurement.</li> </ul>	<b>Latest Note</b>	No change to risk rating. Training is being re-arranged and provided by the Welland Procurement Unit for the Senior Leadership Team.	
		<b>Latest Note Date</b>	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R020 Huncote Leisure Centre and surrounding site - risk of elevated levels of methane from landfill site.</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Financial / Reputational / Health & Safety	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Additional monitoring and venting wells installed.</li> <li>• Regular communication with partners on site.</li> <li>• Trenches installed around perimeter of building, including a pump and pipework to drain water away to land at rear of site.</li> <li>• A further active ventilation stack to be installed to draw gas away from building.</li> <li>• A 24 hour service and maintenance contract which provides immediate response to concerns</li> <li>• The children's centre has officially moved onto the Council's kit so there is only one in the building</li> </ul>	<b>Latest Note</b>	This risk has been reduced due to the internal controls in place.	
		<b>Latest Note Date</b>	13 Apr 2025	

Risk Code & Title	R126 Impact of Industrial Action on Services & Residents	Uncontrolled Risk Score		20
Risks Category	Environmental / Financial / Reputational	Current Controlled Risk Score		6
Internal Controls	Alternative workforce where possible; mental health support in place; arbitration measures; regular communication to staff, members, and residents; SLT presence at depot.	Latest Note	No change to risk rating.	
		Latest Note Date	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R130 Delivery of the Hospital Enablement Team Model Becomes Unsustainable</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	Regular board meetings in place; recognition of partners' financial position; regular budget monitoring; 100% external funding in place.	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	07 Apr 2025	

<b>Risk Code &amp; Title</b>	<b>R132 Partners Do Not Reach Agreement on the Future Lightbulb Delivery Model and Business Case</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	Regular board meetings in place; recognition of partners' financial position; regular monitoring of budget; meetings held with partners as part of the contract planning process; inclusion on Internal Audit Plan.	<b>Latest Note</b>	This risk has been reduced due to a 1 year agreement now in place.	
		<b>Latest Note Date</b>	07 Apr 2025	